REACTIONS OF α -DIAZO-KETONES—V

ETHER OXYGEN PARTICIPATION IN THE ACETOLYSIS OF α' -PHENOXY- AND α' -DIPHENYLMETHOXY- α -DIAZO-KETONES

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Abstract—The acetolyses of α -diazo-ketones 1a-d, 2 and 3a, b, c have been studied. The results obtained for 1a-d indicate that migration of the phenoxy group represents an important, alternative pathway to normal substitution only if a tertiary carbonium ion or a cyclic transition state, with the incipient positive charge located on a tertiary C atom, is involved in the reaction.

Normal substitution was the only process in the case of 2 and 3a; instead, 3b and 3c gave in addition diphenylmethyl acetate and the corresponding 2-substituted-3-oxetanone, 17 and 19, produced by a cyclic mechanism involving the intermediate formation of oxonium ions 26b and 26c, respectively.

Neighbouring group participation by divalent S is a general feature in the acetolysis of α' -arylthio- α -diazo-ketones and proceeds through a cyclic sulphonium ion.¹⁻³ The acetolysis of α -diazo-ketone 1a, labelled ¹³C at the carbon bearing the diazo group, proved that the phenoxy group does not participate in the reaction, the resulting acetoxyketone 4 showing no ¹³C-labelling distribution.¹ This result could be interpreted on the basis of the low nucleophilicity of the O attached to the aromatic ring. owing to resonance interactions with the π -system. Yet, neighbouring group participation by aryloxy group in solvolytic reactions of α -diazo-ketones, although rare, has been reported. The first example is the acetolysis of α -diazo-o-methoxyacetophenone to coumaranone,⁴ which was subsequently interpreted as occurring through Ar-0-5-participation,⁵ the geometry of the system favouring ring closure rather than direct attack on the diazonium ion by the solvent, as in the case of simple diazo-ketones. However, the most pertinent example is the acid catalyzed rearrangement in the methanolysis of 3-(4-biphenylyloxy)-1-diazo-3-methyl-2-butanone to 1-(4-bi-phenyloxy)-3-methyl-3-buten-2-one, which was interpreted as resulting from Ar-0-4 participation.⁶ The latter result suggested that in solvolytic reactions of α' -aryloxy- α -diazo-ketones a

1,3-shift of the aryloxy group might be favoured by substitution at the α' -C. In order to check this hypothesis the acetolyses of α -diazo-ketones 1b, 1c, 1d, structurally related to 1a, were investigated. Moreover, since the benzyloxy group is frequently encountered in displacement reactions involving neighbouring group participation,⁷ the investigation was extended to the acetolysis of α -diazo-ketones 2, 3a, b, c having a more nucleophilic ether O on the α' -C atom.

RESULTS AND DISCUSSION

The main results are reported in Table 1. The first observation which stems from these acetolyses is that α -diazotketones bearing an ether O on the α' -C, independently from its nucleophilicity, selectively undergo normal substitution, provided no substituent is present on the same carbon. This is shown by the acetolyses of **1a**, **2** and **3a**.

In fact, as previously observed in the case of 1a,¹ the acetolysis of 2 and 3a, selectively ¹³C-labelled at the methinic C, afforded the corresponding acetoxy-ketones 13 and 14 as the only products, with full retention of the initial labelling. Thus, in spite of the more nucleophilic character of the ether O, 0-4 participation either does not occur in these ace-

R' Ph-O-C-CO-CHN ₂	Ph-CH ₂ -O-CH ₂ -CO-CHN ₂	Ph₂CH-O-CH-COCHN₂
Ŕ′ 1a: R′=R″=H	2	Ŕ 3∎: R=H
1b: R'=H; R"=CH ₃ 1c: R'=R"=CH ₃		3b : R=CH ₃ 3c : R=Ph
1d: R'=H; R"=Ph		

Table 1. Acetolysis of α -diazo-ketones bearing an ether oxygen on the α' -carbon

SUBSTRATE	PRODUCTS		
1 a	Ph-O-OH200-OH20AC (100%)		
1b	Ph-0-01-00-0120Ac (96%) Ph-0-012-00-01-012 (2%) Ph-0-0-0 I 01 3 5 6 012		
1c	043 Ph-0-C-00-04204c (33%) Ph-0-042-00-C=042 (50%) Ph-0-042-00 I O43 O43 8 9 10	-С-ОАс (13%) I ОЧ ₃	
1d	Ph-0-04-00-04_0Ac (100%) Ph 12		
2	Ph-CH ₂ -O-CH ₂ -CO-CH ₂ OAc (100%) 13		
3a	Ph20H-0-CH2-CO-CH2O4C (100%) 14 C	1	
3 0	Ph_0H-0-0H-00-0H_04 (44%) Ph_0H-0-00-0H_3 (56%) 0H_3 (0H_3 15 16 17	>	
3c	Ph20H-0-QH-00-CH204c (72%) Ph20H-0-00-CH3 (28%) Ph Ph 18 16 19	>	

tolyses, or if it does, no σ -bond is formed between the O and the C bearing the leaving group.

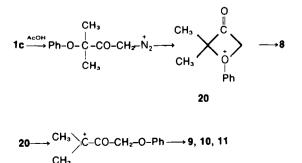
Indeed, there is evidence in the literature that a neighbouring oxygenated group needs not form a completely covalent bond with the electrophilic C of the substrate in order to lend anchimeric assistance.8-11

The acetolyses of 1b and 1c show instead that, if Me groups are present on the C bearing the ethereal O, rearrangement involving 1,3-shift of the phenoxy group may occur to a minor or greater extent. Thus, while in the case of **1b** only traces of α . β -unsaturated ketone 6 revealed the above rearrangement, † in the case of 1c normal substitution accounted for only one third of the reaction, the remaining two-thirds resulting in formation of α,β -unsaturated ketone 9, acetoxy-ketone 10 and chromanone 11.

The latter acetolysis can be interpreted through Ph-0-4 participation involving a true σ -bond between the O and the electrophilic C of the protonated diazo-ketone; the intermediate oxonium ion 20

might, in fact, undergo nucleophilic attack by the acetate, affording 8, or collapse to tertiary carbonium ion 21, which would then deprotonate to 9, or solvolyze to 10, or eventually undergo intramolecular electrophilic substitution leading to chromanone 11.

Alternatively, the participation operating for 1c might simply involve coordination of the ether O to the electrophilic center, in a process competing with normal substitution. The observed shift of the phenoxy group leading to 9 and 10 would then occur through a concerted mechanism involving a 4-membered transition state. However, chromanone



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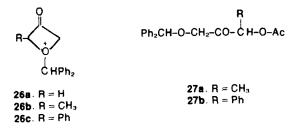
[†]The isomeric α,β -unsaturated ketone 7, most probably was formed from some impurity of 1-chloro-3-phenoxy-2-butanone present in the starting material; indeed, the acetolysis of the latter has been shown to afford 7.12

11 is likely to be formed through a cationic intermediate such as 21. The pathway leading to migration of the phenoxy group should be characterized in any case by an activation energy closely dependent on the relative stability of either the intermediate cationic species or the transition state leading to the products. This may account for the fact that 1a, 2 and 3a did not undergo any rearrangement, since in any case a positive charge would have to be developed on a primary, rather than on a tertiary C atom, as with Ic. The same argument may hold to a lesser extent also in the case of 1b. However, the lack of any rearrangement in the acetolysis of 1d is rather difficult to explain, since a 1,3-shift of the phenoxy group would lead to a stable benzylic cation. One possible explanation is that the acetolysis of 1d does not involve the protonated diazo-ketone, as in other substrates, but its enolic form 22, in which extensive conjugation is responsible for selective substitution on the allylic diazonium cation.

The acetolyses of α -diazo-ketones **3a**, **b**, **c**, structurally related to **1a**, **b**, **d**, respectively, present doubts concerning whether neighbouring group participation by the ether is operating in these solvolyses and or whether normal substitution proceeds through an intermediate oxonium ion. This study resulted from the related S analogue **23**, which was shown to undergo acetolysis in neat AcOH affording 30% 1-acetoxy-3-benzydrylthio-2-propanone, **24**, and 70% diphenylmethyl acetate and 3-thia-cyclobutanone, **25**.^{9,13}

Also, the acetolysis of 23, selectively ¹³C-labelled at the C bearing the diazo group, excluded any isotope distribution between the two carbons adjacent to the carbonyl of 24. These results proved that an open chain mechanism and a cyclic one were operating in the acetolysis of 23 and that neighbouring group participation by the S, if present, did not involve formation of a σ -bond in the process leading to 24.

The acetolysis of 3a ¹³C-labelled at the methinic C led exclusively to acetoxy-ketone 14, with full retention of the initial labelling, which proves that oxonium ion 26a was not involved in the reaction. However, normal substitution accounted for only 44 and 67%, respectively, in the acetolysis of 3b in neat AcOH and in the presence of AcOK, diphenylmethyl acetate and 2-methyl-3-oxetanone, 17, being clearly formed by the agency of oxonium ion 26b.[†]



Likewise, 26c must be the precursor of diphenylmethyl acetate and 2-phenyl-3-oxetanone, 19, obtained in the acetolysis of 3c in addition to acetoxy-ketone 18, normal substitution being in this case predominant (72%) even in plain AcOH. Since acetoxy-ketones 27a and 27b were not formed in the acetolyses of 3b and 3c, respectively, it seems reasonable to conclude that also with the latter substrates, as in the case of 3a, normal substitution occurred through an open chain mechanism, in which neighbouring group participation, if active at all, might only involve coordination of the ether O to the C bearing the diazo group.

The observed fragmentation, which evidenced neighbouring group participation in the cases of 3b and 3c, can be attributed to the presence of a strongly electrophilic C attached to the ether O. Finally, it is remarkable that in both series of α -diazo-ketones 1 and 3, independently from the nucleophilicity of the ether O, the presence of substituents on the α' -C is determinant in allowing alternative pathways to normal substitution by involving cyclic transition states (as most probably in the cases of 1b and 1c) or intermediates (as in the cases of 3b and 3c).

EXPERIMENTAL

All m.ps were determined on a Büchi apparatus and are uncorrected. NMR spectra were recorded on a Varian T-60 spectrometer using TMS as the internal standard. The mass spectral data were measured on a Perkin-Elmer 270 spectrometer, directly connected to a gas chromatograph, operating at 80 eV (80 μ A). Column: SE 30, 5% on Chromosorb P-80-100 mesh, silanized, temperature: 155°; injector temperature: 250°; manifold temperature: 200°; ion source temperature: 200°. Carrier gas: He, 15 ml min⁻¹.

All the substrates were prepared starting from the appropriate acyl chloride by reaction with an ether soln of CH_2N_2 (mole ratio 1:4). After the usual work-up, the oily residue was taken into ether-hexane and chromatographed on silica gel (eluant CHCl₃) in order to eliminate the impurity of the corresponding α -chloroketone.

1-Diazo-3-phenoxy-2-butanone, **1b**; crystals, m.p. $31-2^{\circ}$. NMR (CCl₄), δ : 7.33–6.66 (5H, m); 5.50 (1H, s); 4.55 (1H, q); 1.50 (3H, d).

1-Diazo-3-phenyl-3-phenoxy-2-propanone, 1c; oil, NMR (CDCl₃), δ : 7.66–6.76 (10H, m); 5.76 (1H, s); 5.56 (1H, s).

1-Diazo-3-methyl-3-phenoxy-2-butanone, 1d; crystals, m.p. 63° . NMR (CCl₄), δ : 7.26–6.60 (5H, m); 5.58 (1H, s); 1.46 (6H, s).

1-Diazo-3-benzyloxy-2-propanone, **2**; oil, NMR (CCl₄), δ : 7.16 (5H, s); 5.58 (1H, s); 4.46 (2H, s); 3.38 (2H, s).

Ph₂CH-S-CH₂-CO-CHN₂

Ph₂

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[†]Nucleophilic attack at the benzydryl C of **3b** leading to 16 can be excluded, since 1-diphenylmethyl-2-propanone did not undergo acetolysis both in neat AcOH and in the presence of acetate.

Chromatography of the crude product allowed isolation of pure 2 and, as a by-product, of 1-benzyloxy-2-chloromethyl-2,3-epoxypropane, oil, NMR (CCl₄), δ : 7.13 (5H, s); 4.46 (2H, s); 4.00–3.26 (4H, m); 2.63 (2H, s). MS, m/z (%): 214(0.2), M^{-1} 212(0.5), 149(1), 108(6), 107(60), 106(10), 105(34), 93(2), 92(15), 91(100), 90(2), 89(5), 80(2), 79(30), 78(5), 77(18), 75(3), 71(5), 65(25), 64(2), 63(7), 57(5), 53(2), 52(3), 51(11), 50(4), 49(7), 44(1), 43(4), 42(5), 41(10), 40(3), 39(20), 38(2), 37(1), 36(4).

1-Diazo-3-diphenylmethoxy-2-propanone, **3a**; crystals, m.p. $61-2^{\circ}$. NMR (CCl₄), δ : 7.30 (10H, s); 5.70 (1H, s); 5.33 (1H, s); 3.93 (2H, s).

1-Diazo-3-diphenylmethoxy-2-butanone, **3b**; crystals, m.p. 55-6^c. NMR (CCl₄), δ : 7.33-7.00 (10H, m); 5.61 (1H, s); 5.33 (1H, s); 3.83 (1H, q); 1.30 (3H, d).

1-Diazo-3-diphenylmethoxy-3-phenyl-2-propanone, **3c**; oil, NMR (CCl₄), δ : 7.40–6.83 (15H, m); 5.63 (1H, s); 5.33 (1H, s); 4.65 (1H, s).

General procedure for the acetolysis. The acetolyses were performed on approx. 1-2 mmol, both in neat AcOH and, occasionally, in the presence of AcOK, under standard conditions (substrate concentration: 0.2 M; AcOK concentration 0.6 M; temp 114°; reaction time 5 min). The cold soln of the substrate in AcOH was rapidly added to boiling AcOH or AcOH-AcOK soln. The crude mixture was quenched and treated twice with H_2O (10 ml) and CCl₄ (20 ml). After shaking, the CCl₄ solns were dried over MgSO₄, filtered and evaporated. NMR, GC and GC/MS analyses were performed on the residues.

Acetolysis of 1b. The reaction in plain AcOH and in the presence of AcOK gave practically the same results. GC/MS analysis showed the composition reported in Table 1. Acetoxy-ketone, 5, was purified by column chromatography on silica gel (oil b.p. 143° , 0.3 mm Hg). Compounds 6 and 7 were not isolated in pure state; their identification was made by GC/MS spectrometry.

1-Acetoxy-3-phenoxy-2-butanone, **5**: NMR (CCl₄), δ : 7.33-7.66 (5H, m); 4.80 (2H, AB System); 4.83 (1H, q); 2.05 (3H, s); 1.50 (3H, d). MS, m/z (%): M⁺ 222(3), 129(2), 121(100), 115(1), 107(1), 103(1), 101(2), 94(3), 93(4), 91(1), 78(1), 77(14), 65(3), 51(4), 43(20), 39(3).

1-Phenoxy-3-buten-2-one, 6: MS, m/z (%): M⁺ 162(22), 134(8), 133(8), 119(22), 105(4), 94(100), 91(32), 90(2), 89(3), 78(5), 77(20), 66(73), 65(40), 55(7), 51(16), 43(40), 40(20), 39(60).

3-Phenoxy-3-buten-2-one, 7†: MS, m/z (%): M⁺⁺ 162(21), 119(31), 94(15), 92(6), 91(64), 78(6), 77(62), 66(6), 65(14), 51(22), 43(100), 39(10).

Acetolysis of 1c. GC/MS and NMR analysis of the crude reaction mixture showed practically the same composition in plain AcOH and in the presence of AcOK (Table 1).

†The assignment of structure 7 is based on the fragmentation observed in the mass spectrum; in particular, the latter shows the acetyl ion $(m/z \ 43)$ much more intense than in the spectrum of the isomeric compound 6. The ion with m/z 134, which is absent in the mass spectrum of 7, could originate from 6 through a primary loss of CO.

‡By comparing the mass spectra of the isomeric 9 and 11, the following observations can be made. Compound 9 shows the characteristic fragmentations due to the bond breaking in α to the CO (m/z 41, 69 and 107) and to the ethereal linkage (m/z 83 and 94). The ions with m/z 148 and 133 are derived from the molecular ion by loss of CO (determination of the exact mass) and CO + CH₃, respectively. The formation of these ions must involve a cyclization, however not to compound 11. The latter, in fact, does not show a primary loss of CO (m/z 148 is absent), but consecutive losses of CH₃ and CO (m/z 161 and 133), while ions typical of 9 (m/z 41, 69, 83 and 107) are absent.

§The assignment of structure 17 is based on the presence of the molecular ion $(m/z \ 86)$ and of the fragments with $m/z \ 71 \ (M-CH_3)$, 56 $(M-CH_2O)$ and 43 (CH_3CO^+) .

Acetoxy-ketones 8 and 10 and α,β -unsaturated ketone 9 were isolated in pure state by column chromatography over silica gel. Chromanone 11 was only detected by GC/MS analysis; this technique showed that 11 was not a chromatographic artefact deriving from 9.‡ Acetoxy-ketone 10 was shown to be stable under the experimental conditions employed for the GC analysis.

1-Acetoxy-3-methyl-3-phenoxy-2-butanone, **8**: oil; NMR (CCl₄) δ : 7.32–6.66 (5H, m); 4.96 (2H, s); 2.08 (3H, s); 1.46 (6H, s). MS, m/z (%): M⁺ 236(2), 176(1), 135(100), 108(2), 107(13), 101(6), 95(30), 94(32), 91(3), 79(2), 78(3), 77(33), 73(2), 70(3), 69(4), 66(5), 65(7), 55(4), 51(13), 50(2), 44(2), 43(80), 42(10), 41(22), 40(4), 39(12).

1-Phenoxy-3-methyl-3-buten-2-one, **9**. (Oil b.p. $140-2^{\circ}$ 1 mm Hg). NMR (CCl₄) δ : 7.26–6.56 (5H, m); 5.98 (1H, m); 5.71 (1H, m); 4.73 (2H, s); 1.85 (3H, m). MS, m/z (%): M ⁺ 177(8), 176(60), 148(10), 134(3), 133(34), 108(3), 107(28), 94(10), 83(20), 79(22), 78(8), 77(85), 70(5), 69(100), 66(4), 65(11), 63(5), 55(25), 51(34), 50(5), 42(5), 41(90), 40(5), 39(37).

3-Acetoxy-3-methyl-1-phenoxy-2-butanone, 10; (oil b.p. 108-10°, 0.3 mm Hg). NMR (CCl₄) δ : 7.26-6.60 (5H, m); 4.63 (2H, s); 2.00 (3H, s); 1.50 (6H, s). MS, m/z (%): M ⁺ 236(10), 210(2), 178(7), 176(2), 165(10), 150(4), 143(8), 135(80), 129(18), 108(7), 107(26), 101(28), 95(10), 94(12), 79(5), 78(3), 77(30), 69(5), 65(5), 64(3), 59(50), 55(5), 51(10), 50(3), 44(10), 43(100), 41(10), 39(10).

4,4-Dimethyl-chroman-3-one, 11; MS, m/z (%): 177(15), M⁻¹ 176(90), 161(30), 135(15), 134(33), 133(100), 121(8), 119(10), 117(7), 115(15), 105(90), 103(12), 94(60), 91(20), 77(30), 65(15), 63(10), 57(12), 55(15), 51(25), 44(60), 43(50).

Acetolysis of 1d. The acetolysis in plain AcOH, as well as in the presence of AcOK, afforded 12 as the only product: (oil b.p. $140-2^{\circ}$ at 0.2 mm Hg). NMR (CCl₄) δ : 7.46–6.76 (10H, m); 5.56 (1H, s); 4.93 (2H, s); 2.06 (3H, s). MS, m/z (%): M⁺ 284(1), 196(1), 195(1), 194(1), 191(2), 184(16), 183(100), 165(3), 155(3), 155(30), 154(2), 153(3), 152(1), 151(1), 149(2), 148(2), 132(2), 131(12), 129(2), 128(2), 127(1), 121(1), 120(1), 119(1), 118(3).

Acetolysis of 2. The reaction was run only in plain AcOH. NMR and GC/MS analysis showed the formation of 13 (oil, b.p. 97-8°, 1 mm Hg) as the only reaction product. NMR (CCl₄) δ : 7.16 (5H, s); 4.56 (2H, s); 4.50 (2H, s); 4.00 (2H, s); 2.10 (3H, s). MS, m/z (%): M⁺ 179(0.2), 162(0.2), 150(1), 149(0.5), 135(0.5), 117(1), 116(11), 108(1), 107(23), 105(1), 104(1), 101(7), 92(11), 91(100), 90(2), 89(4), 79(4), 77(5), 74(11), 73(10), 65(10), 63(3), 52(1), 51(4), 50(1), 43(49), 42(2), 41(2), 39(5).

Acetolysis of 3a. Both acetolyses in plain AcOH and in the presence of AcOK gave 14 (crystals m.p. $86-7^{\circ}$) as the only product. NMR (CCl₄) δ : 7.43–7.10 (10H, m); 5.35 (1H, s); 4.83 (2H, s); 4.00 (2H, s); 2.06 (3H, s).

Acetolysis of 3b. The final soln of the acetolysis in plain AcOH was concentrated by distillation using an efficient column; the first fraction (0.6 ml) when submitted to GC/MS analysis revealed the presence of two products having molecular peaks 86 and 84, respectively. The first compound, the minor component of the mixture, was identified as 17.§ MS, m/z (%): M⁺⁺ 86(18), 71(8), 57(95), 56(87), 43(100), 42(35), 41(90), 39(20), 29(30).

The structure of the other component, possibly a gaschromatographic artefact, was not established.

The concentrated AcOH solution was diluted with CCl₄ (20 ml) and treated with a sat NaHCO₃ aq; the organic layer, after drying and removal of the solvent, left an oil having the following composition (NMR analysis): 56% diphenylmethyl acetate, 44% 15. NMR (CCl₄) δ : 7.50–7.10 (10H, m); 5.40 (1H, s); 4.80 (2H, s); 3.93 (1H, q); 2.05 (3H, s); 1.50 (3H, d). When the above acetolysis was performed in the presence of 0.6 M AcOK, after removal of the solvent, NMR analysis of the crude reaction mixture gave 67% 15, 33% diphenylmethyl acetate.

3-Diphenylmethoxy-2-butanone. In a 3-necked flask equipped with magnetic stirrer, dropping funnel, reflux

condenser and inlet tube for N₂, 0.4 g of 2-diphenylmethoxy propionic acid were slowly treated with a 5% ethereal suspension of MeLi (4 ml). The mixture was left for 20 hr at 25°. After the usual work-up the ethereal soln gave 0.35 g of crude product. A sample, after purification by chromatography over silica gel, gave crystals of title compound m.p. 51-52°. NMR (CCl₄) δ : 7.20 (10H, s); 5.28 (1H, s); 3.77 (1H, q, J = 6 Hz); 2.07 (3H, s); 1.32 (3H, d, J = 6 Hz). MS, m/z (%): 211(0.5), 210(0.1), 184(3), 183(22), 182(2), 169(1), 168(14), 167(100), 166(10), 165(25), 164(3), 163(2), 153(2), 152(12), 151(1), 139(2), 128(2), 115(2), 105(5), 89(1), 77(5), 72(1), 65(1), 63(1), 51(3), 43(7), 39(1).

The above ketone was recovered unchanged after 30' refluxing in the AcOH/AcOK system.

Acetolysis of 3c. The reaction was run only in AcOH. GC/MS and NMR analysis of the crude mixture gave the composition reported in Table 1.

1-Acetoxy-3-diphenylmethoxy-3-phenyl-2-propanone, 18, was isolated in pure state by column chromatography over silica gel (eluant 50:1 light petroleum ether-EtOAc): oil b.p. 104-5° at 0.05 mm Hg. NMR (CCl₄) δ : 7.43-6.93 (15H, m); 5.40 (1H, s); 4.81 (2H, AB system); 2.06 (3H, s).

2-Phenyl-3-oxetanone, 19, was isolated only in mixture with 18. NMR (CCl₄) δ : 7.30–7.00 (5H, m); 6.13 (1H, s); 3.95 (2H, s). MS, m/z (%): M^{+*} 148(2), 118(0.5), 106(10), 105(100), 104(1), 103(3), 78(8), 77(87), 76(5), 75(4), 74(7), 51(40), 50(14), 43(26), 42(7).

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